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|---|-------------------------|--|---------|---|--|
| Under the Paperwork Reduction Act of 1 valid OMB control number. | 995, no perso | Patent and Trademark Offi | ce: U.S | S. DEPARTMENT OF COMMERCE | |
| | | Applicati n Number | 09 | /939,966 | |
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Filing Date | Αι | August 27, 2001 Li Chen et al. 1646 | |
| | | First Named Inventor | Li | | |
| | | Group Art Unit | 16 | | |
| | | Examiner Name | _ | | |
| Total Number of Pages in This Submission | on 8 | Confirmation Number | 54 | 12 | |
| ENCLOSURES (check all that apply) | | | | | |
| Fee Transmittal Form | | nent Papers Application) | | After Allowance Communication to Group | |
| Fee Attached | Fee Attached Drawing | | | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Response Licensii | | ng-related Papers | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| After Final Petition and Ac | | Routing Slip (PTO/SB/69) companying Petition | | Proprietary Information | |
| | | Petition to Convert to a Provisional Application | | Status Letter | |
| Extension of Time Request | Power of Change Address | of Attorney, Revocation of Correspondence | X | Additional Enclosure(s) (please identify below): | |
| Express Abandonment Request | Termina | al Disclaimer | | Declaration | |
| Information Disclosure Statement | Small E | ntity Statement | | | |
| Certified Copy of Priority | | t for Refund | | | |
| Response to Missing Parts/ | emarks | 1 | | | |
| Incomplete Application | | | | | |
| Parts under 37 CFR 1.52 or 1.53 | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| Firm F. Aaron Dubberley | | | | | |
| or Individual name | | | | | |
| Signature L. Marca Dulbuly | | | | | |
| Date October 18, 2001 | | | | | |
| CERTIFICATE OF MAILING | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231: | | | | | |
| Typed or printed name F. Aaron Dubberlay | | | | | |
| | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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TATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Chen et al.

Serial No. 09/939,966, filed August 27, 2001

For: SELECTIVE CYCLIC PEPTIDES WITH MELANOCORTIN-4 RECEPTOR (MC4-R) AGONIST ACTIVITY

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Nutley, New Jersey 07110 October 18, 2001

Commissioner for Patents **BOX MISSING PART**Washington, D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application, applicants enclose an original Declaration executed by Li Chen, Adrian Wai-Hing Cheung, Xin-Jie Chu, Waleed Danho, Joseph Swistok, Yao Wang and Keith Alan Yagaloff, co-inventors of the captioned application. The submission of the executed Declaration completes the formal requirements for filing the captioned application. Please make the foregoing of record.

The payment of a surcharge of \$130.00 is to be charged to Deposit Account No. 08-2525. Any underpayment or overpayment should be charged or credited to Deposit Account No. 08-2525.

Respectfully submitted,

NO. 08-2525

OUR ORDER NO. 4028

Enclosure 114736

Agent for Applicant(s)
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(Reg. No. 41001)
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/939,966

08/27/2001

Li Chen

1095

00151 HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT 340 KINGSLAND STREET NUTLEY, NJ 07110 CONFIRMATION NO. 5412
FORMALITIES LETTER
OC000000006830645

Date Mailed: 10/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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